

DATE OF ISSUANCE:  
20 OCTOBER 2021



197901003200 (47457-V)  
*A company incorporated with limited liability in  
Malaysia under the Laws of Malaysia, and wholly-  
owned by*

PERMODALAN NASIONAL BERHAD  
197801101190 (38218-X)

TRUSTEE  
AMANAHRAYA TRUSTEES BERHAD  
200701008892 (766894-T)

## FIRST SUPPLEMENTARY PROSPECTUS

This First Supplementary Prospectus is dated 20 October 2021 and must be read together with the Principal Prospectus dated 16 September 2020.

Fund and Date Constituted:

ASN IMBANG (MIXED ASSET BALANCED) 3 GLOBAL,  
("ASN IMBANG 3 GLOBAL") ..... 16 SEPTEMBER 2020

INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THIS FIRST SUPPLEMENTARY PROSPECTUS DATED 20 OCTOBER 2021 WHICH IS TO BE READ TOGETHER WITH THE PRINCIPAL PROSPECTUS DATED 16 SEPTEMBER 2020 WHICH ARE ANNEXED.

IF IN DOUBT, PLEASE CONSULT A PROFESSIONAL ADVISER. FOR INFORMATION CONCERNING CERTAIN RISK FACTORS WHICH SHOULD BE CONSIDERED BY PROSPECTIVE INVESTORS, SEE "RISK FACTORS" COMMENCING ON SECTION 3.3 OF THE PROSPECTUS OF ASN IMBANG 3 GLOBAL.

## RESPONSIBILITY STATEMENT

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This First Supplementary Prospectus has been reviewed and approved by the directors of Amanah Saham Nasional Berhad and they collectively and individually accept full responsibility for the accuracy of the information. Having made all reasonable enquiries, they confirm to the best of their knowledge and belief, that there are no false or misleading statements or omission of other facts which would make any statement in this First Supplementary Prospectus false or misleading.

## STATEMENT OF DISCLAIMER

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The Securities Commission Malaysia has authorised the Fund and a copy of this First Supplementary Prospectus has been registered with the Securities Commission Malaysia.

The authorisation of the Fund, and registration of this First Supplementary Prospectus, should not be taken to indicate that Securities Commission Malaysia recommends the said Fund or assumes responsibility for the correctness of any statement made, opinion expressed or report contained in the Prospectus ASN Imbang 3 Global and this First Supplementary Prospectus.

The Securities Commission Malaysia is not liable for any non-disclosure on the part of the management company responsible for the said Fund and takes no responsibility for the contents in this First Supplementary Prospectus. The Securities Commission Malaysia makes no representation on the accuracy or completeness of this First Supplementary Prospectus, and expressly disclaims any liability whatsoever arising from, or in reliance upon, the whole or any part of its contents.

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**INVESTORS SHOULD RELY ON THEIR OWN EVALUATION TO ASSESS THE MERITS AND RISKS OF THE INVESTMENT. IF INVESTORS ARE UNABLE TO MAKE THEIR OWN EVALUATION, THEY ARE ADVISED TO CONSULT PROFESSIONAL ADVISERS.**

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### **Additional Statement**

Investors should note that they may seek recourse under the Capital Markets and Service Act 2007 for breaches of securities law including any statement in this First Supplementary Prospectus that is false, misleading, or from which there is a material omission; or for any misleading or deceptive act in relation to this First Supplementary Prospectus or the conduct of any other person in relation to the Fund.

Unless otherwise provided in this First Supplementary Prospectus, all the capitalised terms used herein shall have the same meanings ascribed to them in the Principal Prospectus dated 16 September 2020

**1. Amendments to Section 1 on page 1 – under “GLOSSARY OF TERMS/ABBREVIATIONS”**

Section 1 on page 1 of the Principal Prospectus under “Glossary of Terms/Abbreviations” is amended as follows:

- i. Amendment of the definition of Bursa Malaysia to read as follows:

<b>Bursa Malaysia</b>	<ul style="list-style-type: none"> <li>▪ <i>means the stock exchange managed or operated by Bursa Malaysia Securities Berhad (Registration No. 200301033577(635998-W))</i></li> </ul>
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- ii. Insertion of the definition of e-KYC, First Supplementary Prospectus and Principal Prospectus to read as follows:

<b>Electronic Know Your Customer (e-KYC)</b>	<ul style="list-style-type: none"> <li>▪ <i>e-KYC means establishing business relationships and conducting customer due diligence by way of electronic means, including but not limited to the online channel, mobile channel and any digital investment management platform to be approved by SC</i></li> </ul>
<b>First Supplementary Prospectus</b>	<ul style="list-style-type: none"> <li>▪ <i>This First Supplementary Prospectus dated 20 October 2021 which is to be read together with the Principal Prospectus dated 16 September 2020</i></li> </ul>
<b>Principal Prospectus</b>	<ul style="list-style-type: none"> <li>▪ <i>The Prospectus of ASN Imbang 3 Global dated 16 September 2020 registered with the Securities Commission Malaysia in respect of the Fund.</i></li> </ul>

**2. Amendment to Section 3.1 on page 6 – “Performance Benchmark” under “GENERAL INFORMATION OF THE FUND”**

In respect of the disclosure of the Performance Benchmark for the Fund under Section 3.1 on page 6 of the Principal Prospectus under “General Information of the Fund” the deletion of the third bullet point in its entirety and substituted with the following:

*“The benchmark is constructed in line with the objectives of the Fund as well as the market it operates in, where, over the long term, the Fund is expected to have a risk-return profile similar to the above”*

**3. Amendments to Section 3.3.2 on page 10 on “Force Majeure Risk” under “GENERAL RISKS OF INVESTING IN UNIT TRUST FUNDS”**

In respect of the disclosure of the force majeure risk under “General Risks of Investing in Unit Trust Funds” on Section 3.3.2, page 10 is hereby deleted entirely and to read as follows:

<b>Force Majeure Risk</b>	<ul style="list-style-type: none"> <li>▪ <i>It is the risk that there will be an event beyond the control of the Manager.</i></li> <li>▪ <i>This risk may have an adverse effect on the performance of the funds as the Manager could be prevented from complying with its obligations as prescribed in this Prospectus. The fund may then be unable to meet its objective of providing a reasonable level of income distribution and/or capital appreciation to the unit holders.</i></li> <li>▪ <i>Force majeure risk includes but is not limited to:</i> <ul style="list-style-type: none"> <li>a) <i>acts of God (such as, but not limited to, fires, explosions, earthworks, drought, tidal waves and floods);</i></li> <li>b) <i>war, hostilities (whether war be declared or not), invasion, act of foreign enemies, mobilization, requisition, embargo, rebellion, revolution, insurrection or military or usurped power or civil war;</i></li> <li>c) <i>contamination by radioactivity from any nuclear fuel, or from any nuclear waste from the combustion of nuclear fuel, radio-active toxic explosive, or other hazardous properties of any explosive nuclear assembly or nuclear component of such assembly;</i></li> <li>d) <i>riot, commotion, strikes, go slows, lock outs or disorder, unless restricted to employees of the Manager;</i></li> <li>e) <i>acts or threats of terrorism;</i></li> <li>f) <i>pandemics, epidemics, local disease outbreaks, public health emergencies, quarantines, government restrictions in the form of movement control orders (whether conditional or not), lockdowns (whether full, partial or conditional), or any other restricted movement order as may be implemented by the government from time to time; and</i></li> <li>g) <i>any other emergency circumstances that materially and adversely prevent the Manager from operating normally.</i></li> </ul> </li> <li>▪ <i>The Manager will undertake appropriate action to mitigate the risks, which among others includes insurance coverage and alternate recourse to resume operations in the event of the above-mentioned risks.</i></li> </ul>
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**4. Amendment to Section 5.7.1 on page 22 on Illustration on Requesting for Repurchase of Units under “SINGLE PRICING POLICY”**

Item (B) of Section 5.7.1 on page 22 of the Principal Prospectus pertaining to the illustration on requesting for repurchase of Units under single pricing policy is hereby deleted entirely and substituted with the following

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**Illustration: Repurchase of Investment**

The NAV is RM0.5000 per Unit. No repurchase charge will be imposed. If Unit Holder submit an application to repurchase 10,000 Units in ASN Imbang 3 Global:

Units to repurchase	:	10,000 Units in ASN Imbang 3 Global
NAV per Unit	:	RM0.5000
Repurchase charge	:	Nil

**i) Repurchase amount**

$$\begin{aligned} &= \text{Repurchase Units} \times \text{NAV per Unit} \\ &= 10,000 \text{ Units} \times \text{RM0.5000} \\ &= \text{RM5,000} \end{aligned}$$

**ii) Net Repurchase Proceeds**

$$\begin{aligned} &= \text{Repurchase amount} - \text{Repurchase charge} \\ &= \text{RM5,000} - \text{RM0} \\ &= \underline{\text{RM5,000}} \end{aligned}$$

***The rounding up of the NAV per Unit will be up to four (4) decimal places. The total amount paid will be subject to the rounding mechanism. Please note that the example above is for illustrative purposes only.***

**5. Amendment to Section 5.15.3 on page 26 – “Cooling-off Policy” under “ADDITIONAL INFORMATION”**

Section 5.15.3 on page 26 of the Principal Prospectus pertaining to “Cooling-off Policy” under “Additional Information” is hereby deleted in its entirety and substituted with the following:

(a) *Cooling-off Period*

- *The Cooling-off Period for the Fund is six (6) Business Days commencing from the date of purchase.*

(b) *Cooling-off Right*

- *A Cooling-off Right refers to the right of the Unit Holder to who is investing in any Variable Price Fund managed by ASNB for the first time, to obtain a refund of his investment if he so requests within the Cooling-Off Period.*
- *The Cooling-off Right, however, does not extend to a corporation or institution, the staff of ASNB or persons registered with the body approved by the SC to deal in Unit trust funds.*
- *The refund for every Unit held by the Unit Holder according to the exercise of the Cooling-Off Right shall be the sum of:*

- (i) the NAV per Unit on the day the Units were first purchased; and*
- (ii) the sales charge per Unit originally imposed on the day the Units were purchased.*

**6. Insertion of new paragraph (c) to Section 5.15.8 on page 28 – “Anti-Money Laundering Countering Financing of Terrorism and Targeted Financial Sanction for Financial Institutions (AML/CFT and TFS for FIS) Policies and Procedures” under “ADDITIONAL INFORMATION”**

Insertion of new paragraph (c) to Section 5.15.8 on page 28 under “Additional Information” pertaining to “AML/CFT and TFS for FIS” activities to be read as follows:

*(c) e-KYC is a digital process that enables the opening of accounts digitally for customers to occur anytime and anywhere. ASNB has developed a policy that facilitates its customers to start their investment through ASNB's digital platform with an online experience that meets financial regulatory requirements.*

**7. Amendment to Section 6.2 on page 30 – “Board of Directors of ASNB” under “THE MANAGEMENT AND THE ADMINISTRATION OF THE FUND”**

Section 6.2 on page 30 of the Principal Prospectus under “The Management and The Administration of The Fund” pertaining to “Board of Directors of ASNB” is hereby deleted in its entirety and substituted with the following:

**BOARD OF DIRECTORS  
AMANAH SAHAM NASIONAL BERHAD**

<b>1) TUN ARIFIN BIN ZAKARIA</b>
CHAIRMAN (NON-EXECUTIVE/NON-INDEPENDENT DIRECTOR)
<b>2) ENCIK AHMAD ZULQARNAIN BIN CHE ON</b>
DIRECTOR (EXECUTIVE/NON-INDEPENDENT DIRECTOR)
<b>3) DATUK SERI DR. NIK NORZRUL THANI BIN N. HASSAN THANI</b>
DIRECTOR (NON-EXECUTIVE/INDEPENDENT DIRECTOR)
<b>4) DATO DR. NIK RAMLAH BINTI NIK MAHMOOD</b>
DIRECTOR (NON-EXECUTIVE/INDEPENDENT DIRECTOR)
<b>5) DATUK MOHD ANWAR BIN YAHYA</b>
DIRECTOR (NON-EXECUTIVE/INDEPENDENT DIRECTOR)
<b>6) ENCIK MOHAMMAD BIN HUSSIN</b>
EXECUTIVE DIRECTOR (EXECUTIVE/NON-INDEPENDENT DIRECTOR)

**8. Deletion to Section 10.2 on page 40 – “Conflict of Interest” under “RELATED PARTY TRANSACTIONS/CONFLICT OF INTEREST”**

Section 10.2 on page 40 of the Principal Prospectus under “Related Party Transactions/Conflict of Interest” pertaining to “Conflict of Interest” is hereby deleted in its entirety.

**9. Principal Prospectus to Remain in Full Force Subject to Amendments**

Subject only to the variations herein contained and such other alterations as may be necessary to make the Principal Prospectus consistent with this First Supplementary Prospectus, the Principal Prospectus shall remain in full force and effect and the Principal Prospectus, and the First Supplementary Prospectus shall be read and construed and be enforceable as if the terms of this First Supplementary Prospectus were inserted therein by way of addition or substitution as the case may be.

**10. Consent**

The Trustee and Solicitors have given and have not subsequently withdrawn their consent for the issue of this First Supplementary Prospectus.